

PATENT

Attorney Docket No. 07785.0001
New Attorney Docket No. 08049.0008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Oscar Lee Avant et al.)
Serial No.: 09/652,709)
Filed: August 31, 2000)
For: APPARATUS AND METHODS)
FOR IDENTIFYING AND)
PROCESSING MAIL USING AN)
IDENTIFICATION CODE)



Group Art Unit: 3653

Examiner: Unassigned

#3

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

In response to the communication of October 17, 2000, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, the required fee of \$130.00, and a copy of the Notice to File Missing Parts of Application.

Please note that the second named inventor, Ralph W. Boldt, Jr., is deceased. Pursuant to 37 C.F.R. § 1.42, his legal representative, Margaret Boldt, executed the Declaration/Power of Attorney on his behalf. Please associate the enclosed Declaration and Power of Attorney with the above-identified application.

03/21/2001 NVILLARD
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FARABOW, GARRETT,
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1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

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30.00 CH

Attorney Docket No. 07885.0001
New Attorney Docket No. 08049.0008

Please grant any extensions of time required to enter this response and charge
any additional required fees to our Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: December 15, 2000

By: Walter G. Sutcliff
Walter G. Sutcliff
Reg. No. 24,914

LAW OFFICES

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/652,709	08/31/2000	Oscar L. Avant	07785.0001

Finnegan Henderson Farabow Garrett & Dunner LLP
1300 I Street NW
Washington, DC 20005-3315



FORMALITIES LETTER



OC000000005478054

Date Mailed: 10/17/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

Docketed 10-25-00 Attorney REC/LML/EHA
Case 7785.0001
Due Date 12-17-00 W/EXT
Action DELL/POA

By B VDB

RECEIVED

OCT 25 2000

FINNEGAN, HENDERSON,
FARABOW, GARRETT & DUNNER, L.L.P.

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PATENT
Attorney Docket No. 07785.0001
New Attorney Docket No. 08049.0008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

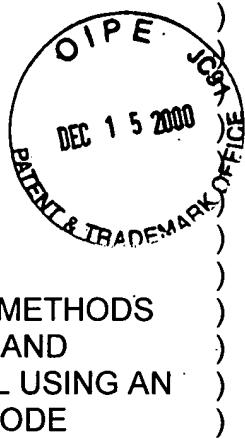
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IDENTIFICATION CODE



Group Art Unit: 3653

Examiner: Unassigned

#5
CFR
ASW
4/5/01

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

A corrected Official Filing Receipt is hereby requested in view of a spelling error which appears in the original. For the convenience of the Patent and Trademark Office, attached is the original receipt indicating the correction of the word "identifying" in red ink.

Because the error was made by the Patent and Trademark Office, it is believed that no fee is required. Please grant any extension of time deemed necessary.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: December 15, 2000

By: Walter G. Sutcliff

Walter G. Sutcliff
Reg. No. 24,914



UNITED STATES PATENT AND TRADEMARK OFFICE

Rec-LUL-ELTA
 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/652,709	08/31/2000	3653	5748	07785.0001	35	158	36

Finnegan Henderson Farabow Garrett & Dunner LLP
 1300 I Street NW
 Washington, DC 20005-3315



FILING RECEIPT



OC000000005478053

Date Mailed: 10/17/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Oscar L. Avant, Residence Not Provided;
 Ralph W. Boldt JR., Residence Not Provided;
 Bruce A. Brandt, Residence Not Provided;
 Jay D. Fadely, Residence Not Provided;
 Michael R. Little, Residence Not Provided;
 Simon F. Reidel, Residence Not Provided;

RECEIVED

OCT 25 2000

FINNEGAN, HENDERSON,
 FARABOW, GARRETT & DUNNER, LLP.

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/152,194 08/31/1999

Foreign Applications

If Required, Foreign Filing License Granted 10/16/2000

Title

identifying

Apparatus and methods for identifying and processing mail using an identification code

Preliminary Class

209

Data entry by : FESSEHAYE, ASTER

Team : OIPE

Date: 10/17/2000



DET-10-25-00
 10/16/00
 33

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**



GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

